



University Transportation Research Center - Region 2

Final Report



Evaluation of Light Emitting Surface and Light Emitting Diode Roadway Luminaires

Performing Organization: Rensselaer Polytechnic Institute (RPI)



November 2019



Sponsor:
New York State Department of Transportation (NYSDOT)

University Transportation Research Center - Region 2

The Region 2 University Transportation Research Center (UTRC) is one of ten original University Transportation Centers established in 1987 by the U.S. Congress. These Centers were established with the recognition that transportation plays a key role in the nation's economy and the quality of life of its citizens. University faculty members provide a critical link in resolving our national and regional transportation problems while training the professionals who address our transportation systems and their customers on a daily basis.

The UTRC was established in order to support research, education and the transfer of technology in the field of transportation. The theme of the Center is "Planning and Managing Regional Transportation Systems in a Changing World." Presently, under the direction of Dr. Camille Kamga, the UTRC represents USDOT Region II, including New York, New Jersey, Puerto Rico and the U.S. Virgin Islands. Functioning as a consortium of twelve major Universities throughout the region, UTRC is located at the CUNY Institute for Transportation Systems at The City College of New York, the lead institution of the consortium. The Center, through its consortium, an Agency-Industry Council and its Director and Staff, supports research, education, and technology transfer under its theme. UTRC's three main goals are:

Research

The research program objectives are (1) to develop a theme based transportation research program that is responsive to the needs of regional transportation organizations and stakeholders, and (2) to conduct that program in cooperation with the partners. The program includes both studies that are identified with research partners of projects targeted to the theme, and targeted, short-term projects. The program develops competitive proposals, which are evaluated to insure the most responsive UTRC team conducts the work. The research program is responsive to the UTRC theme: "Planning and Managing Regional Transportation Systems in a Changing World." The complex transportation system of transit and infrastructure, and the rapidly changing environment impacts the nation's largest city and metropolitan area. The New York/New Jersey Metropolitan has over 19 million people, 600,000 businesses and 9 million workers. The Region's intermodal and multimodal systems must serve all customers and stakeholders within the region and globally. Under the current grant, the new research projects and the ongoing research projects concentrate the program efforts on the categories of Transportation Systems Performance and Information Infrastructure to provide needed services to the New Jersey Department of Transportation, New York City Department of Transportation, New York Metropolitan Transportation Council, New York State Department of Transportation, and the New York State Energy and Research Development Authority and others, all while enhancing the center's theme.

Education and Workforce Development

The modern professional must combine the technical skills of engineering and planning with knowledge of economics, environmental science, management, finance, and law as well as negotiation skills, psychology and sociology. And, she/he must be computer literate, wired to the web, and knowledgeable about advances in information technology. UTRC's education and training efforts provide a multidisciplinary program of course work and experiential learning to train students and provide advanced training or retraining of practitioners to plan and manage regional transportation systems. UTRC must meet the need to educate the undergraduate and graduate student with a foundation of transportation fundamentals that allows for solving complex problems in a world much more dynamic than even a decade ago. Simultaneously, the demand for continuing education is growing – either because of professional license requirements or because the workplace demands it – and provides the opportunity to combine State of Practice education with tailored ways of delivering content.

Technology Transfer

UTRC's Technology Transfer Program goes beyond what might be considered "traditional" technology transfer activities. Its main objectives are (1) to increase the awareness and level of information concerning transportation issues facing Region 2; (2) to improve the knowledge base and approach to problem solving of the region's transportation workforce, from those operating the systems to those at the most senior level of managing the system; and by doing so, to improve the overall professional capability of the transportation workforce; (3) to stimulate discussion and debate concerning the integration of new technologies into our culture, our work and our transportation systems; (4) to provide the more traditional but extremely important job of disseminating research and project reports, studies, analysis and use of tools to the education, research and practicing community both nationally and internationally; and (5) to provide unbiased information and testimony to decision-makers concerning regional transportation issues consistent with the UTRC theme.

Project No(s):

UTRC/RF Grant No: 49198-40-27, 55513-03-27

Project Date: November 2019

Project Title: Evaluation of Light Emitting Surface (LES) and Light Emitting Diode (LED) Roadway Luminaires

Project's Website:

<http://www.utrc2.org/research/projects/evaluation-light-emitting-surface>

Principal Investigator(s):

John D. Bullough

Director, Transportation and Safety Lighting Programs
Lighting Research Center
Rensselaer Polytechnic Institute
21 Union Street
Troy, NY 12180
Tel: (518) 687-7138
Email: bulloj@rpi.edu

Co Author(s):

Nicholas P. Skinner

Lighting Research Center
Rensselaer Polytechnic Institute
21 Union Street
Troy, NY 12180

Performing Organization:

Rensselaer Polytechnic Institute

Sponsor(s):

New York State Department of Transportation (NYSDOT)

To request a hard copy of our final reports, please send us an email at utrc@utrc2.org

Mailing Address:

University Transportation Research Center
The City College of New York
Marshak Hall, Suite 910
160 Convent Avenue
New York, NY 10031
Tel: 212-650-8051
Fax: 212-650-8374
Web: www.utrc2.org

Board of Directors

The UTRC Board of Directors consists of one or two members from each Consortium school (each school receives two votes regardless of the number of representatives on the board). The Center Director is an ex-officio member of the Board and The Center management team serves as staff to the Board.

City University of New York

Dr. Robert E. Paaswell - Director Emeritus of NY
Dr. Hongmian Gong - Geography/Hunter College

Clarkson University

Dr. Kerop D. Janoyan - Civil Engineering

Columbia University

Dr. Raimondo Betti - Civil Engineering
Dr. Elliott Sclar - Urban and Regional Planning

Cornell University

Dr. Huaizhu (Oliver) Gao - Civil Engineering
Dr. Richard Geddes - Cornell Program in Infrastructure Policy

Hofstra University

Dr. Jean-Paul Rodrigue - Global Studies and Geography

Manhattan College

Dr. Anirban De - Civil & Environmental Engineering
Dr. Matthew Volovski - Civil & Environmental Engineering

New Jersey Institute of Technology

Dr. Steven I-Jy Chien - Civil Engineering
Dr. Joyoung Lee - Civil & Environmental Engineering

New York Institute of Technology

Dr. Nada Marie Anid - Engineering & Computing Sciences
Dr. Marta Panero - Engineering & Computing Sciences

New York University

Dr. Mitchell L. Moss - Urban Policy and Planning
Dr. Rae Zimmerman - Planning and Public Administration

(NYU Tandon School of Engineering)

Dr. John C. Falocchio - Civil Engineering
Dr. Kaan Ozbay - Civil Engineering
Dr. Elena Prassas - Civil Engineering

Rensselaer Polytechnic Institute

Dr. José Holguín-Veras - Civil Engineering
Dr. William "Al" Wallace - Systems Engineering

Rochester Institute of Technology

Dr. James Winebrake - Science, Technology and Society/Public Policy
Dr. J. Scott Hawker - Software Engineering

Rowan University

Dr. Yusuf Mehta - Civil Engineering
Dr. Beena Sukumaran - Civil Engineering

State University of New York

Michael M. Fancher - Nanoscience
Dr. Catherine T. Lawson - City & Regional Planning
Dr. Adel W. Sadek - Transportation Systems Engineering
Dr. Shmuel Yahalom - Economics

Stevens Institute of Technology

Dr. Sophia Hassiotis - Civil Engineering
Dr. Thomas H. Wakeman III - Civil Engineering

Syracuse University

Dr. Baris Salman - Civil Engineering
Dr. O. Sam Salem - Construction Engineering and Management

The College of New Jersey

Dr. Thomas M. Brennan Jr - Civil Engineering

University of Puerto Rico - Mayagüez

Dr. Ismael Pagán-Trinidad - Civil Engineering
Dr. Didier M. Valdés-Díaz - Civil Engineering

UTRC Consortium Universities

The following universities/colleges are members of the UTRC consortium under MAP-21 ACT.

City University of New York (CUNY)
Clarkson University (Clarkson)
Columbia University (Columbia)
Cornell University (Cornell)
Hofstra University (Hofstra)
Manhattan College (MC)
New Jersey Institute of Technology (NJIT)
New York Institute of Technology (NYIT)
New York University (NYU)
Rensselaer Polytechnic Institute (RPI)
Rochester Institute of Technology (RIT)
Rowan University (Rowan)
State University of New York (SUNY)
Stevens Institute of Technology (Stevens)
Syracuse University (SU)
The College of New Jersey (TCNJ)
University of Puerto Rico - Mayagüez (UPRM)

UTRC Key Staff

Dr. Camille Kamga: *Director, Associate Professor of Civil Engineering*

Dr. Robert E. Paaswell: *Director Emeritus of UTRC and Distinguished Professor of Civil Engineering, The City College of New York*

Dr. Ellen Thorson: *Senior Research Fellow*

Penny Eickemeyer: *Associate Director for Research, UTRC*

Dr. Alison Conway: *Associate Director for Education/Associate Professor of Civil Engineering*

Andriy Blagay: *Graphic Intern*

Tierra Fisher: *Office Manager*

Dr. Sandeep Mudigonda, *Research Associate*

Dr. Rodrigue Tchamna, *Research Associate*

Dr. Dan Wan, *Research Assistant*

Bahman Moghimi: *Research Assistant;*

Ph.D. Student, Transportation Program

Sabiheh Fagigh: *Research Assistant;*
Ph.D. Student, Transportation Program

Patricio Vicuna: *Research Assistant*
Ph.D. Candidate, Transportation Program

| | | | |
|--|--|---|-----------|
| 1. Report No. C-18-03 | 2. Government Accession No. | 3. Recipient's Catalog No. | |
| 4. Title and Subtitle EVALUATION OF LIGHT EMITTING SURFACE AND LIGHT EMITTING DIODE ROADWAY LUMINAIRES | | 5. Report Date November 2019 | |
| | | 6. Performing Organization Code | |
| 7. Author(s) John D. Bullough and Nicholas P. Skinner | | 8. Performing Organization Report No. | |
| 9. Performing Organization Name and Address Lighting Research Center Rensselaer Polytechnic Institute 21 Union Street Troy, NY 12180 | | 10. Work Unit No. | |
| | | 11. Contract or Grant No. | |
| 12. Sponsoring Agency Name and Address NYS Department of Transportation 50 Wolf Road Albany, NY 12232 | | 13. Type of Report and Period Covered Final Report (2018-2019) | |
| | | 14. Sponsoring Agency Code | |
| 15. Supplementary Notes Rodney Delisle from the NYS Department of Transportation served as Project Manager. Project funded in part with funds from the Federal Highway Administration (FHWA). | | | |
| 16. Abstract In the present study, roadway luminaires using light emitting surface (LES) technology were compared in terms of photometric performance to roadway luminaires using high pressure sodium (HPS) lamps and light emitting diode (LED) sources. Measurements of light output and electrical power use revealed that the LES luminaires performed similar to the high end of the range of LED luminaires used for comparison. Spectral metrics were similar to those of LED luminaires with the same correlated color temperature (CCT). Measurements of the intensity distribution showed close correspondence with published data for roadway luminaire distributions. In general, the photometric, energy and economic performance of the LES luminaires were among those of LED luminaires evaluated for comparison, suggesting that LES technology can be feasible for roadway lighting. | | | |
| 17. Key Words Highway lighting, roadway lighting, light emitting diodes, light emitting surface | | 18. Distribution Statement No Restrictions | |
| 19. Security Classif. (of this report) Unclassified | 20. Security Classif. (of this page) Unclassified | 21. No. of Pages 24 | 22. Price |

Form DOT F 1700.7 (8-72)

DISCLAIMER

This report was funded in part through grant(s) from the Federal Highway Administration, United States Department of Transportation, under the State Planning and Research Program, Section 505 of Title 23, U.S. Code. The contents of this report do not necessarily reflect the official views or policy of the United States Department of Transportation, the Federal Highway Administration or the New York State Department of Transportation. This report does not constitute a standard, specification, regulation, product endorsement, or an endorsement of manufacturers.

ACKNOWLEDGMENTS

This study was funded by the New York State Department of Transportation (NYSDOT) and by the Federal Highway Administration (FHWA). The project was administered through the Region 2 University Transportation Research Center (UTRC) at the City University of New York under the direction of Dr. Camille Kamga of UTRC. Rodney Delisle from NYSDOT served as the NYSDOT Project Manager and made many important contributions to this study. Helpful input and important contributions to the project were also provided by Martin Overington, Leora Radetsky and Jean Paul Freyssinier from the Lighting Research Center; by Deborah Mooney, Robert Terry, Beth Brown from NYSDOT; Jacob Adi from Panama Green Innovations; Frederick Maduro and Anna Marie Chen from EcoLeader Holdings; and Igor Rodet from LumiTar Array Lighting Technology. LumiTar provided the light emitting surface luminaires evaluated in this study.

TABLE OF CONTENTS

| | |
|---|-----|
| Acknowledgments | iii |
| Executive Summary | v |
| Acronyms and Abbreviations | vi |
| 1. Introduction | 1 |
| 2. Light Output and Color Characteristics | 2 |
| Light Output Measurement and Thermal Performance | 2 |
| Color Characteristics | 5 |
| 3. Luminous Intensity Distribution Measurement | 8 |
| Measurement Procedure | 8 |
| Results | 8 |
| 4. Photometric, Energy and Economic Analyses | 11 |
| Retrofit Scenarios | 12 |
| New Lighting Scenarios | 13 |
| 5. Conclusions | 16 |
| 6. Statement on Implementation | 17 |
| 7. References | 18 |

EXECUTIVE SUMMARY

In the present study, roadway luminaires using light emitting surface (LES) technology were compared in terms of photometric performance to roadway luminaires using high pressure sodium (HPS) lamps and light emitting diode (LED) sources. Measurements of light output and electrical power use revealed that the LES luminaires performed similar to the high end of the range of LED luminaires used for comparison. Spectral metrics were similar to those of LED luminaires with the same correlated color temperature (CCT). Measurements of the intensity distribution showed close correspondence with published data for roadway luminaire distributions. In general, the photometric, energy and economic performance of the LES luminaires were among those of LED luminaires evaluated for comparison, suggesting that LES technology can be feasible for roadway lighting.

ACRONYMS AND ABBREVIATIONS

A – ampere
CCT – correlated color temperature
cd/m² – candelas per square meter
CRI – color rendering index
ft - foot
HPS – high pressure sodium
IES – Illuminating Engineering Society
K – kelvin
kWh – kilowatt-hour
LED – light emitting diode
LES – light emitting surface
lm – lumen
LRC – Lighting Research Center
lx – lux (approximately 0.1 footcandle)
nm – nanometer
NYSDOT – New York State Department of Transportation
PF – power factor
S/P – scotopic/photopic ratio
SPD – spectral power distribution
V – volt
W – watt
yr – year

1. INTRODUCTION

The prevalence of solid state illumination systems is growing throughout the U.S., and is likely to overtake the use of high pressure sodium (HPS) systems that are currently the most commonly used technology for roadway lighting applications (Navigant Consulting, 2012). Among the reasons for this technological transformation include higher luminous efficacy, longer operating life, and the potential for improved visual quality with light emitting diode (LED) systems compared to HPS (Radetsky, 2010, 2011; Bullough, 2012; Bullough and Radetsky, 2013, 2014). As part of a study for the New York State Department of Transportation (NYSDOT), it was found that retrofitting HPS luminaires along parkways and arterial roadways with LEDs could result in improved lighting performance and reduced energy costs (Bullough et al., 2015).

Unlike HPS roadway lighting systems where a single lamp is surrounded by a reflector and lens combination that distributes the light along the roadway, solid state lighting systems using LEDs have many possible configurations. In some solid state lighting luminaires, individual LED sources are equipped with lenses or other optical elements, each producing a portion of the overall beam pattern produced by the luminaire, and having an overall appearance of a matrix of sources. In other cases, a module of closely packed LEDs is surrounded by a lens or reflector to produce the desired intensity distribution; the individual LEDs are not visible, but rather seem to form a single bright source of light.

A number of solid state lighting products have been emerging in recent years described as light emitting surface (LES) configurations. These include chip on board (COB) module configurations where a number of chips are mounted directly to a substrate, and where the reduced size and packaging requirements can reduce the heat generation and potentially improve performance of the module compared to traditional LED configurations (Miron, 2016). In order to understand the suitability of roadway luminaires using LES configurations, the present study was carried out by the Lighting Research Center (LRC) at Rensselaer Polytechnic Institute (RPI) to measure their performance compared to more conventional LED lighting systems. The subsequent sections of this report describe the activities undertaken to evaluate two LES roadway luminaires.

2. LIGHT OUTPUT AND COLOR CHARACTERISTICS

Two LES luminaires were provided by a manufacturer for use in the evaluation study. NYSDOT coordinated with the manufacturer to obtain luminaires that would be equivalent in performance to HPS luminaires containing 100 W and 150 W lamps. The LES luminaires received by the project team were labeled as using 32 W and 60 W, and are shown in Figures 1 and 2, respectively.

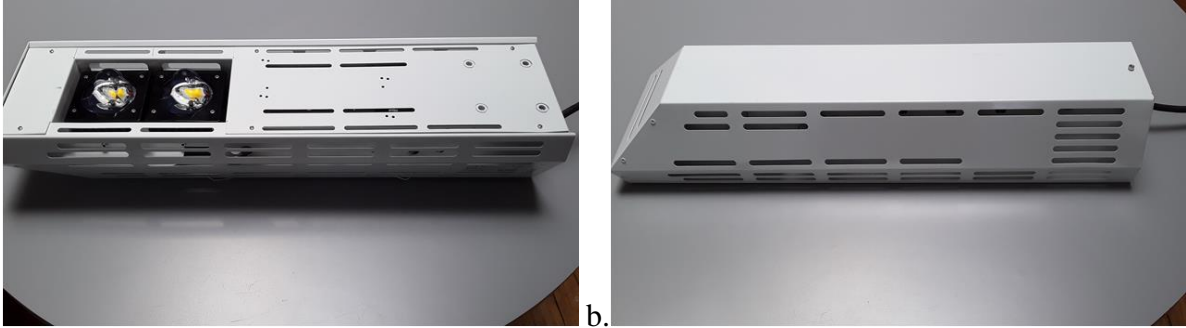


Figure 1. 32 W LES luminaire. a: View showing light source optics; b. View showing luminaire housing.

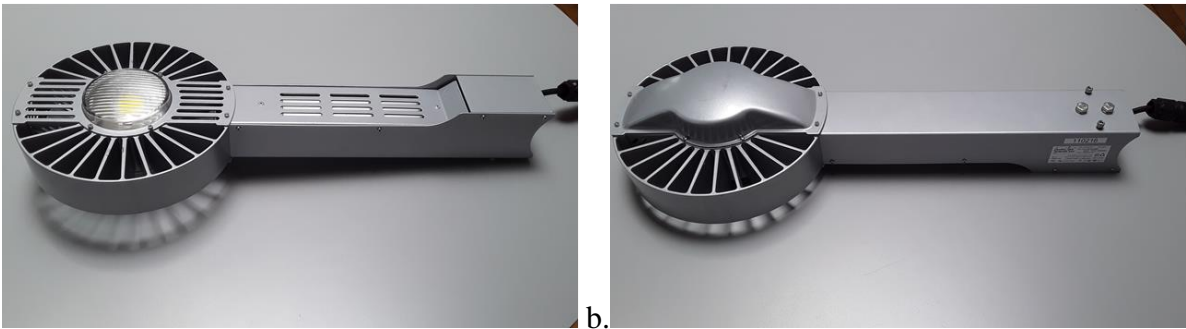


Figure 2. 60 W LED luminaire. a: View showing light source optics; b. View showing luminaire housing.

Light Output Measurement and Thermal Performance

The LES luminaires were checked for damage upon receiving, fitted with an end plug for use on 120 V AC power in the U.S., and mounted in an integrating sphere (Figure 3) for light output measurement (in lm). At the same time, the power (in W) and power factor (PF) were measured. The power is the active wattage used by the luminaire when operating at a stable thermal condition, and the PF is a quantity that indicates the ratio of active power (in W) to apparent power (in root-mean-square $V \cdot A$) of an electrical device such as a lamp or luminaire and can range from 0 to 1.0, where 1.0 is considered ideal. Power factor is a measure of how effectively an electric load converts power into useful work.



Figure 3. Integrating sphere used to measure absolute light output at 25° C.

While the light output was being measured in the sphere, the spectral power distribution (SPD) of each LES luminaire was recorded for subsequent analysis. The calibration of the sphere was checked with a known reference source and found to be within less than 1% of the calibration value. Both luminaires were stabilized at ambient room temperature until the light output remained stable (at least 30 minutes).

To assess their performance under different thermal conditions, relative measurements of light output were made using the LRC's thermal chamber (Figure 4) at -10°, 25° and 40° C (14°, 77° and 104° F). In each case, the luminaires were acclimated to each ambient temperature while de-energized and then switched on after stabilizing at the ambient temperature. Relative light output was monitored and measurements taken once the output remained stable; the lumen values from the sphere measurements were adjusted by these relative values to estimate light output at the low and high temperatures. Luminous efficacy (lm/W) was calculated from the measured light output and power data for each luminaire.



Figure 4. Thermal chamber used to measure performance at different temperatures.

The resulting data summary for the two luminaires is as follows.

32 W LES (38 W LES):*

- Power: 38.5 W (39.5 W at -10° C; 37.8 W at 40° C)
- Power factor: 0.99
- Light output: 4874 lm (5282 lm at -10° C; 4744 lm at 40° C)
- Luminous efficacy: 127 lm/W (134 lm/W at -10° C; 125 lm/W at 40° C)

(*Because this luminaire was found to use more power than its labeling implied, it is referred throughout the remainder of this report as a 38 W LES luminaire.)

60 W LES:

- Power: 59.7 W (60.1 W at -10° C; 59.4 W at 40° C)
- Power factor: 0.99
- Light output: 7728 lm (8180 lm at -10° C; 7485 lm at 40° C)
- Luminous efficacy: 130 lm/W (136 lm/W at -10° C; 126 lm/W at 40° C)

For comparison, LED luminaire performance data for several common manufacturers (denoted A through E) for roadway lighting luminaires with similar wattages are provided below as well as corresponding data for 100 W and 150 W HPS roadway lighting luminaires. Ambient temperature for all of the LED and HPS systems is assumed to be 25° C (these data were taken from manufacturer data sheets, not measured independently):

Table 1. Summary of power, light output and luminous efficacy for the LES, LED and HPS luminaires.

| Luminaire Type | Power (W)* | Power Factor | Light Output (lm) | Efficacy (lm/W) |
|----------------|------------|--------------|-------------------|-----------------|
| LED A | 31 | Not Stated | 4,000 | 129 |
| 38 W LES | 38.5 | 0.99 | 4,874 | 127 |
| LED B | 32 | 0.94 | 3,960 | 124 |
| LED E | 31 | Not Stated | 3,784 | 122 |
| LED D | 30 | > 0.90 | 3,650 | 122 |
| LED C | 35 | > 0.90 | 3,263 | 93 |
| 100 W HPS | 119 | Not Stated | 6,320 | 53 |
| 60 W LES | 59.7 | 0.99 | 7,728 | 130 |
| LED B | 58 | 0.94 | 7,200 | 124 |
| LED A | 58 | Not Stated | 7,000 | 121 |
| LED E | 60 | Not Stated | 7,194 | 120 |
| LED D | 58 | > 0.90 | 6,630 | 114 |
| LED C | 66 | > 0.90 | 6,473 | 98 |
| 150 W HPS | 183 | Not Stated | 10,645 | 58 |

Table 1 summarizes the power, light output and efficacy data for the LES, LED and HPS luminaires, sorted by their luminous efficacy. In terms of luminous efficacy, the LES luminaires were comparable to the upper end of the range of LED luminaires used for comparison, and the efficacies of all of the LES and LED luminaires exceed the performance of HPS luminaires. In addition, it was noted that the lower-wattage LES luminaire actually used 38.5 W even though the product label stated that the luminaire used 32 W. Therefore, when it was used in photometric analyses it was compared to LED luminaires using between 35 W and 40 W, rather than to the LED luminaires listed in Table 1.

Color Characteristics

As stated previously, the spectral power distributions (SPDs) of the two LES luminaires were recorded (at an ambient temperature of 25° C) while they were being measured for light output in the integrating sphere. The SPDs show the amount of radiant power produced by the luminaire at each wavelength in the visible light spectrum (between 400 and 700 nm). Figure 5 shows the SPDs for each LES luminaire.

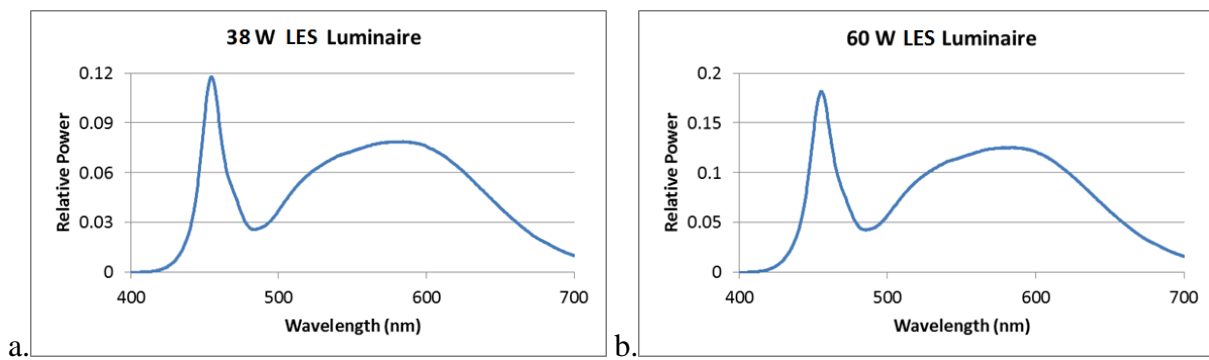


Figure 5. Spectral power distributions. a: 38 W LES luminaire. b: 60 W LES luminaire.

The two SPDs are very similar and when the SPD for the 60 W LES luminaire is scaled by a factor of 0.63 (the ratio of the two LES luminaires' lumen outputs), the two SPDs fall along nearly identical curves. Table 2 lists the correlated color temperature (CCT) and the color rendering index (CRI) of the LES luminaires, alongside the values for these metrics published by the LED luminaire manufacturers.

CCT is an indication of the relative "blueness" or "yellowness" of a light source color. It is expressed in terms of the physical temperature (in K) of a blackbody radiator (tungsten is a material that approximates a blackbody radiator when heated) that emits a color most similar to the color of the light source being measured. A CCT of 3000 K is considered "warm white" while a CCT of 4000 K is more likely to be considered "cool white." CRI is an index that gives an indication of how similarly a light source will make colored objects appear, relative to an "ideal" reference source. For sources with CCTs of 5000 K and lower, the reference source is a blackbody radiator, similar to a tungsten filament. For sources with higher CCTs the reference source is daylight. A CRI of 100 indicates that colors illuminated by a source will match the same colors when illuminated by the reference source. The CRI of an HPS lamp is 22, indicating that many colors will appear distorted under this lamp.

Table 2. Correlated color temperature and color rendering index for the LES and LED luminaires.

| Luminaire Type | CCT (K)* | CRI* |
|-----------------------|-----------------|-------------|
| LES (38 W) | 4,502 | 81 |
| LED A (31 W) | 4,000 | 70 |
| LED B (32 W) | 4,000 | 70 |
| LED C (35 W) | 4,000 | 70 |
| LED D (30 W) | 4,000 | 70 |
| LED E (31 W) | 4,000 | 70 |
| LES (60 W) | 4,512 | 81 |
| LED A (58 W) | 4,000 | 70 |
| LED B (58 W) | 4,000 | 70 |
| LED C (66 W) | 4,000 | 70 |
| LED D (58 W) | 4,000 | 70 |
| LED E (60 W) | 4,000 | 70 |

**For comparison, an HPS lamp has a CCT of 2200 K and a CRI of 22.*

SPD data for the LED luminaires that were evaluated were not available through information published by their manufacturers, but Table 3 lists typical values for several spectral metrics for LED sources with CCTs of 3000, 4000 and 5000 K, along with the values for the LES luminaires based on the measured data for those luminaires. The metrics include the following:

- Scotopic/photopic (S/P) ratio: Used in assessing the relative effectiveness of the source for peripheral visual performance at nighttime light levels.
- Scene brightness spectral ratio: Used to assess the relative brightness appearance of a street scene illuminated by the source.

- Discomfort glare spectral ratio: Used to assess the relative degree to which the spectral distribution influences discomfort glare.

Table 3. Spectral metrics for the LES luminaires and for typical LED sources having CCTs of 3000, 4000 and 5000 K.

| Luminaire Type | S/P Ratio | Scene Brightness Ratio | Discomfort Glare Ratio |
|-----------------------|------------------|-------------------------------|-------------------------------|
| LES (38 W) | 1.81 | 1.73 | 1.34 |
| LES (60 W) | 1.82 | 1.74 | 1.35 |
| LED (3000 K) | 1.23 | 1.43 | 1.20 |
| LED (4000 K) | 1.67 | 1.63 | 1.30 |
| LED (5000 K) | 2.00 | 2.13 | 1.48 |

The values for the LES luminaires fall between the ranges of LED sources having CCTs of 4000 and 5000 K, consistent with their measured CCTs of around 4500 K. This suggests that in terms of their spectral performance, the LES luminaires are similar to LED luminaires of the same CCT.

3. LUMINOUS INTENSITY DISTRIBUTION MEASUREMENT

This section summarizes photometric intensity distribution measurements made for the 38 W LES and 60 W LES luminaires.

Measurement Procedure

The LES luminaires were mounted and adjusted for levelness on the bar photometer in the Levin Photometric Laboratory at the Lighting Research Center. An LMT photosensor was mounted on the wall 6.24 m from the luminous element of each luminaire and black matte baffles were positioned to reduce stray light from the measurement location.

The bar photometer contains a platform that allows the luminaire to be tilted and rotated about its luminous aperture so that angular measurements could be made. Measurements were made for 0° and 90° "cuts" relative to the luminaire, corresponding to directions along and across the roadway, respectively, when the luminaire would be mounted alongside a roadway. The 38 W LES luminaire's optical elements were able to be swiveled to adjust the distribution of the luminaire. For the intensity measurement, these elements were not rotated but were level with the plane of the luminaire.

The luminous intensity distributions were also compared visually to photometric data provided by the manufacturer.

Results

The luminous intensity distribution of the 38 W LES luminaire is shown in Figure 6a. It was a very close match to all of the photometric intensity curves for the model of luminaire provided by the manufacturer. These curves differ in their absolute value because they correspond to different luminaire wattages. An example of one of the published curves is provided in Figure 6b.

The luminous intensity distribution of the 60 W LES luminaire (Figure 7) was, essentially a round pattern of light. The output from this luminaire was projected onto a wall to confirm that its distribution was largely circular and symmetrical. There were no examples of photometric data provided by the manufacturer with an intensity distribution similar to this. After consulting with the manufacturer, it was determined that this luminaire was designed for applications such as area or parking lot lighting rather than for roadway illumination.

For the subsequent section of this report on the photometric analyses, a photometric distribution with a similar pattern as the 38 W LES luminaire, but scaled to the light output of the measured 60 W LES luminaire, was used.

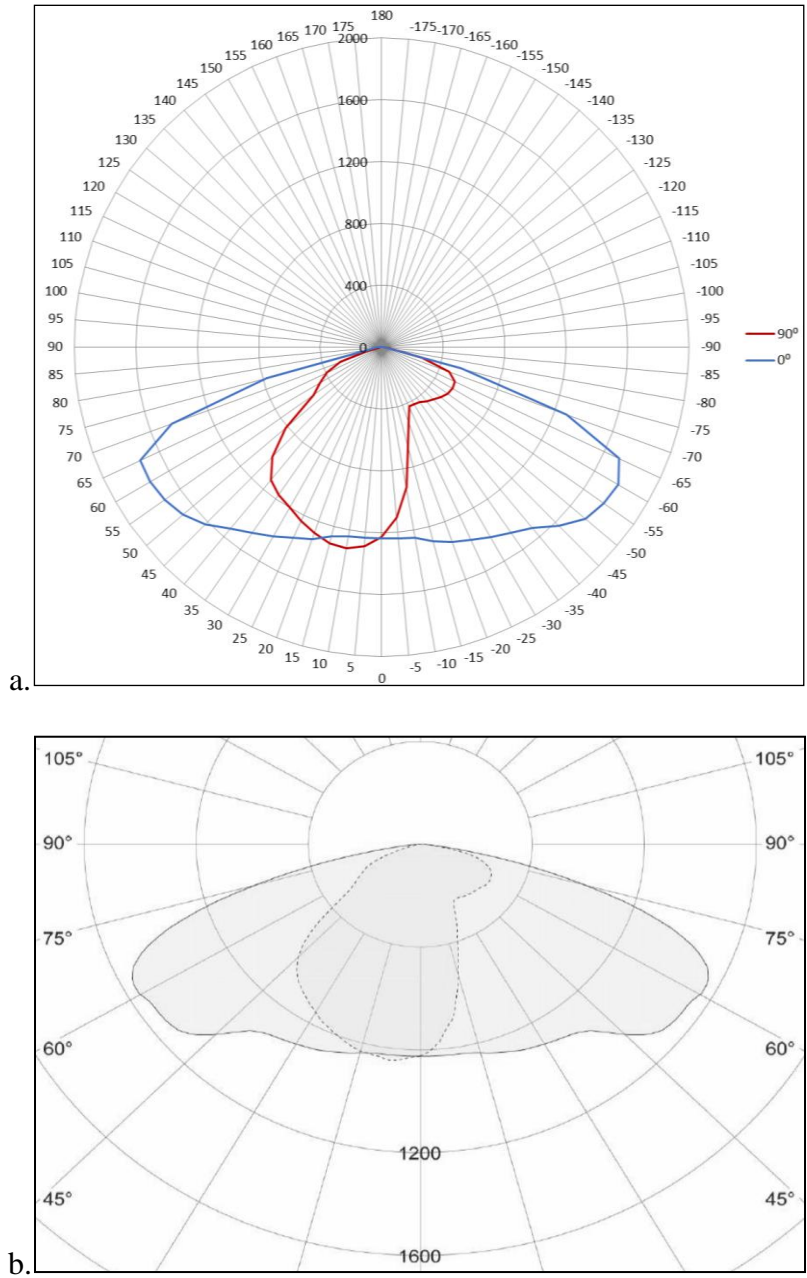


Figure 6. a: Measured luminous intensity distribution curves for 0° and 90° cuts for the 38 W LES luminaire. b: Published luminous intensity distribution curves from the LES luminaire manufacturer.

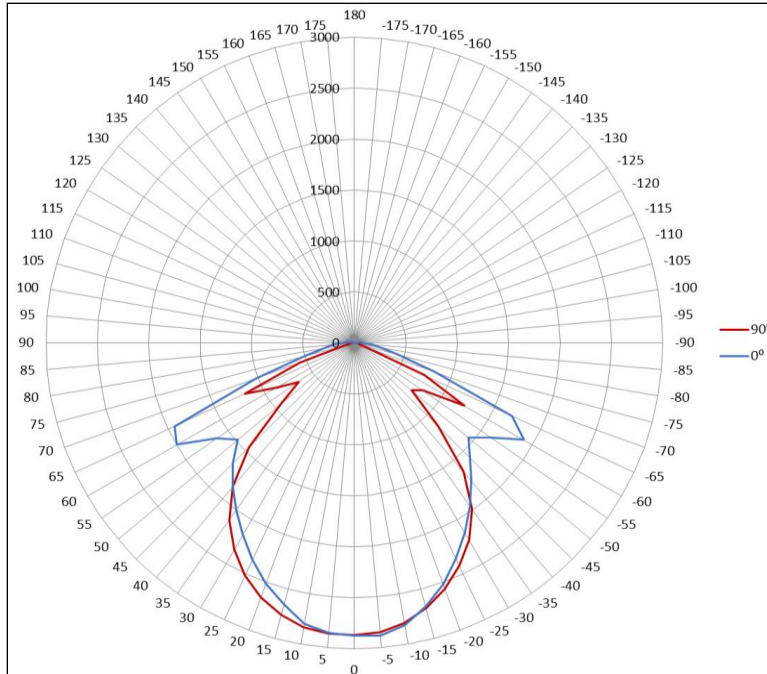


Figure 7. Measured luminous intensity distribution curves for 0° and 90° cuts for the 60 W LES luminaire.

4. PHOTOMETRIC, ENERGY AND ECONOMIC ANALYSES

In this section, roadway lighting simulations were carried out using photometric data for the LES and LED luminaires identified previously. NYSDOT provided roadway lighting inventory for two regions (Hudson Valley and Long Island), from which the project team identified two roadway environments where luminaires with 100 W and 150 W HPS lamps were used: parkways, and ramps exiting from or entering onto parkways. The geometric characteristics of these environments are summarized in Table 4. Typical pole spacings were determined by measuring the average distance between poles in Google Earth using the NYSDOT inventory data.

Table 4. Characteristics of the modeled roadway environments.

| | Parkway | Ramp |
|-----------------------------|----------------|--------------|
| Lanes in Each Direction | 2 / 2 | 0 / 2 |
| Lane Width | 12 ft | 12 ft |
| Median Width | 6 ft | None |
| Pole Setback from Lane Edge | 10 ft | 10 ft |
| Mast Arm Length | 16 ft | 12 ft |
| Mounting Height | 30 ft | 30 ft |
| Typical Spacing of Poles | 240 ft | 110 ft |
| Layout of Poles | Staggered | One Sided |
| Pavement Type | R3 (Asphalt) | R3 (Asphalt) |

For all lighting calculation analyses, the web-based calculator Visual Roadway Tool (Acuity Brands) was used to perform the lighting calculations. The criteria for lighting along the two roadway environments are the same, because they both are defined according to the Illuminating Engineering Society (IES, 2014) roadway lighting standard as "expressway" road types with low pedestrian conflict. The IES criteria for this type of road are as follows:

- Average roadway luminance (this criterion ensures that there is sufficient light along the roadway): At least 0.6 cd/m²
- Average:minimum luminance ratio (this criterion ensures that the lighting along the roadway is not excessively non-uniform): No greater than 3.5:1
- Maximum:minimum luminance ratio (like the average:minimum ratio, this criterion also ensures sufficient uniformity of illumination): No greater than 6:1
- Veiling luminance ratio (this criterion ensures that the brightness of the luminaires in the direction of drivers does not create excessive glare): No greater than 0.3:1

For the asphalt pavement type (R3) that is assumed, the average luminance criterion of 0.6 cd/m² corresponds approximately to an average illuminance of 9 lx (0.9 footcandles).

For the parkway road environment, the 150 W HPS, 60 W LES and LED luminaires with wattages similar to 60 W that are listed in Tables 1 and 2 were used in the analyses. For the ramp environment, the 100 W HPS, 38 W LES, and LED luminaires with wattages between 35 and 40 W and from the same manufacturers listed in Tables 1 and 2 were used.

For the 38 W LES luminaire, three configurations were used: one in which the light modules were not rotated (denoted 0°), one in which one of the modules was rotated toward the road by 20° and the other module was not rotated (denoted 0° + 20°), and one in which both modules were rotated by 20° (denoted 20°). This was done to determine whether adjusting the rotation of these modules could be done to improve the lighting performance. Because the 60 W LES luminaire's light module could not be rotated, these analyses were not performed for that luminaire.

Retrofit Scenarios

Parkway Environment

Table 5 summarizes the photometric and energy characteristics for the parkway environment for each luminaire type in the retrofit scenario (maintaining the existing spacing between poles). In roadway lighting retrofit scenarios, it is not always expected that luminaires will meet all IES (2014) lighting criteria (Beckwith et al., 2011).

Table 5. Lighting performance and energy use for each luminaire, for the parkway environment. For the lighting criteria, green shaded cells indicate performance meeting IES (2014) criteria; yellow shaded cells indicate performance within 10% of IES (2014) criteria.

| Luminaire Type | Average Luminance (cd/m ²) | Average: Minimum Luminance Ratio | Maximum: Minimum Luminance Ratio | Veiling Luminance Ratio | Annual Energy per Mile (kWh/year) | Annual Energy Cost per Mile (\$) |
|----------------|--|----------------------------------|----------------------------------|-------------------------|-----------------------------------|----------------------------------|
| LES (60 W) | 0.58 | 3.22 | 6.94 | 0.22 | 11,563 | \$1,734 |
| HPS (183 W)* | 0.98 | 2.18 | 4.33 | 0.30 | 35,268 | \$5,290 |
| LED A (58 W) | 0.81 | 2.19 | 4.38 | 0.27 | 11,178 | \$1,677 |
| LED B (58 W) | 0.58 | 4.50 | 10.31 | 0.23 | 11,178 | \$1,677 |
| LED C (66 W) | 0.59 | 2.95 | 7.40 | 0.28 | 12,720 | \$1,908 |
| LED D (58 W) | 0.68 | 2.72 | 5.32 | 0.29 | 11,178 | \$1,677 |
| LED E (60 W) | 0.52 | 3.25 | 6.38 | 0.21 | 11,563 | \$1,734 |

*The 150 W HPS luminaire uses a total of 183 W including ballast power.

Because the spacing in this scenario is fixed at 240 ft between poles on each side of the road with a total of 44 poles per mile, the energy use among the LES and LED scenarios does not differ much; all of them are substantially lower than HPS. The annual energy cost per mile assumes a cost of \$0.15/kWh for energy and delivery charges.

Ramp Environment

A similar procedure was used to evaluate the alternatives for the ramp environment, assuming a retrofit scenario where the pole spacing configuration is not changed. Table 6 summarizes the lighting performance and energy use characteristics for each luminaire.

Table 6. Lighting performance and energy use for each luminaire, for the ramp environment. For the lighting criteria, green shaded cells indicate performance meeting IES (2014) criteria; yellow shaded cells indicate performance within 10% of IES (2014) criteria.

| Luminaire Type | Average Luminance (cd/m²) | Average: Minimum Luminance Ratio | Maximum: Minimum Luminance Ratio | Veiling Luminance Ratio | Annual Energy per Mile (kWh/year) | Annual Energy Cost per Mile (\$) |
|-----------------------|---|---|---|--------------------------------|--|---|
| LES (38 W, 0°) | 0.59 | 1.64 | 2.33 | 0.14 | 7,989 | \$1,198 |
| LES (38 W, 0° + 20°) | 0.53 | 1.47 | 1.89 | 0.14 | 7,989 | \$1,198 |
| LES (38 W, 20°) | 0.47 | 1.34 | 1.69 | 0.14 | 7,989 | \$1,198 |
| HPS (119 W)* | 0.99 | 2.20 | 3.20 | 0.19 | 25,019 | \$3,753 |
| LED A (39 W) | 0.91 | 1.60 | 2.35 | 0.20 | 8,199 | \$1,230 |
| LED B (39 W) | 0.59 | 1.74 | 2.59 | 0.15 | 8,199 | \$1,230 |
| LED C (35 W) | 0.48 | 1.71 | 2.96 | 0.19 | 7,358 | \$1,104 |
| LED D (38 W) | 0.78 | 1.53 | 2.06 | 0.18 | 7,989 | \$1,198 |
| LED E (39 W) | 0.53 | 2.04 | 3.19 | 0.19 | 8,199 | \$1,230 |

*The 100 W HPS luminaire uses a total of 119 W including ballast power.

Differences among the LES and LED luminaires in this scenario were relatively small; again, this is largely because the pole spacing (110 ft apart on one side of the road) was essentially fixed. In addition, rotating the light modules within the LES luminaire resulted in lower light levels on the roadway and slightly more uniform illumination.

New Lighting Scenarios

The Visual Roadway Tool can be used to optimize the spacing between luminaires in a given layout to meet specified IES (2014) criteria, for the design of new lighting systems. This is done to minimize the lighting system cost, because poles in a new lighting installation are a major portion of the total cost.

Parkway Environment

Using the lighting criteria and geometric characteristics summarized in Table 4 (except the pole spacing value), Table 7 shows the performance of each luminaire in terms of the number of poles per mile, the energy use per mile, and the energy use per year, for the parkway environment. The luminaires in Table 7 are sorted by annual energy use per mile, in ascending order.

Table 7. Optimized spacing and energy performance for the parkway environment.

| Luminaire Type | Power (W) | Spacing (ft) | Per Mile | | | | | |
|----------------|-----------|--------------|----------|-----------|-----------------|--------------------------------|----------------------------|------------------------------|
| | | | Poles | Power (W) | Energy (kWh/yr) | Initial Installation Cost (\$) | Annual Operating Cost (\$) | Overall Annualized Cost (\$) |
| LED A | 58 | 285 | 37 | 2,146 | 9,399 | \$122,100 | \$2,014 | \$14,450 |
| LED D | 58 | 255 | 41 | 2,378 | 10,416 | \$135,300 | \$2,232 | \$16,012 |
| LES | 60 | 222 | 47 | 2,820 | 12,352 | \$155,100 | \$2,621 | \$18,418 |
| LED E | 60 | 211 | 50 | 3,000 | 13,140 | \$165,000 | \$2,788 | \$19,593 |
| LED C | 66 | 224 | 47 | 3,102 | 13,587 | \$155,100 | \$2,806 | \$18,603 |
| LED B | 58 | 191 | 55 | 3,190 | 13,972 | \$181,500 | \$2,994 | \$21,480 |
| HPS | 183 | 248 | 43 | 7,869 | 34,466 | \$133,816 | \$5,603 | \$19,233 |

As with the retrofit scenarios, the differences among the LES and LED luminaires in terms of energy use are relatively small compared to the differences between these and the HPS luminaire. Of course, energy costs are not the only costs associated with a new lighting installation; the equipment and pole costs as well as maintenance costs are major contributors to the overall cost. To compare the alternatives, the total annualized cost of installing and operating the lighting systems over a 20-year period was calculated, using the following assumptions (Leslie, 1998):

- HPS luminaire cost: \$210
- HPS lamp cost: \$43 (100 W), \$46 (150 W)
- LES/LED luminaire cost: \$350
- Pole cost: \$1300
- Labor costs: Equal to equipment costs
- Capital recovery factor: 0.10185
- Operating life: 30,000 hr (HPS), 100,000 hr (LES/LED)
- Relamping labor cost: \$23
- Electricity cost: \$0.15/kWh

Installation, operating (energy and maintenance) and overall costs annualized over a 20-year period are shown for each system in Table 7. The LES and most of the LED systems result in lower annualized costs than HPS, even though most of these systems had higher initial installation costs, because the LES and LED systems used substantially less energy than the HPS system. The LES luminaire was among the LED luminaires in terms of overall annualized costs.

Ramp Environment

Also using the same lighting criteria and geometric characteristics summarized in Table 4 (except the pole spacing value), Table 8 shows the performance of each luminaire in terms of the number

of poles per mile, the energy use per mile, and the energy use per year, for the ramp environment. Similar to Table 7, the luminaires in Table 8 are sorted by annual energy use per mile, in ascending order.

Table 8. Optimized spacing and energy performance for the ramp environment.

| Luminaire Type | Power (W) | Spacing (ft) | Per Mile | | | | | |
|----------------|-----------|--------------|----------|-----------|-----------------|--------------------------------|----------------------------|------------------------------|
| | | | Poles | Power (W) | Energy (kWh/yr) | Initial Installation Cost (\$) | Annual Operating Cost (\$) | Overall Annualized Cost (\$) |
| LED A | 39 | 166 | 31 | 1,209 | 5,295 | \$102,300 | \$1,301 | \$11,720 |
| LED D | 38 | 143 | 36 | 1,368 | 5,992 | \$118,800 | \$1,487 | \$13,587 |
| LES 0° | 38 | 108 | 49 | 1,862 | 8,156 | \$161,700 | \$2,024 | \$18,493 |
| LED B | 39 | 110 | 48 | 1,872 | 8,199 | \$158,400 | \$2,014 | \$18,147 |
| LES 0° + 20° | 38 | 97 | 54 | 2,052 | 8,988 | \$178,200 | \$2,230 | \$20,380 |
| LED C | 35 | 88 | 59 | 2,065 | 9,045 | \$194,700 | \$2,321 | \$22,151 |
| LED E | 39 | 99 | 53 | 2,067 | 9,053 | \$174,900 | \$2,224 | \$20,037 |
| LES 20° | 38 | 87 | 60 | 2,280 | 9,986 | \$198,000 | \$2,478 | \$22,645 |
| HPS | 119 | 182 | 28 | 3,332 | 14,594 | \$89,968 | \$2,459 | \$11,317 |

With the exception of two LED luminaires for which the annual energy use per mile was less than 6000 kWh/year (LED A and LED D), the differences among the LES and LED luminaires in terms of energy use were relatively small compared to the differences between these and the HPS luminaire. The total annualized cost of installing and operating each of the lighting systems over a 20-year period (Leslie, 1998) was also calculated, using the same cost assumptions as for the parkway environment. These along with the initial installation costs and annual operating (energy and maintenance) costs are shown in Table 8.

The HPS luminaire had the lowest overall annualized cost even though it had nearly the highest annual operating (energy and maintenance) cost, compared to the LES and LED luminaires. The LES luminaire was among the LED luminaires in terms of overall annualized costs.

Similar to the retrofit scenarios for the ramp environment, rotating the light modules within the LES luminaires tended to worsen rather than improve performance (in terms of the ability to space luminaires farther apart). It is possible that for a situation when poles are mounted very far from the edge of a roadway, that rotating the distribution could have a benefit, but this situation was not evaluated.

To investigate whether the potential for longer operating life of the LES luminaire might impact economic performance, economic comparisons were made assuming an operating life of 400,000 hours for this system, rather than 100,000 hours. The overall annualized cost for the LES system was only reduced by about 3%, mainly because the largest part of this cost is related to the pole/installation costs.

5. CONCLUSIONS

Based on the limited analyses described in this report, the LES luminaires that were evaluated had the following characteristics:

- Luminous efficacy similar to that of the high end of the range of efficacies for several LED luminaires to which it was compared, and stable performance over a large temperature range (-10° C to 40° C).
- Color rendering characteristics (CRI > 80) slightly higher than the rated color rendering indices of the LED luminaires (CRI > 70) evaluated.
- Spectral characteristics similar to LEDs of the same CCT (for the LES luminaires that were measured, the CCT was approximately 4500 K).
- Luminous intensity distributions closely matching that of published photometric data for luminaires having a roadway distribution.
- The ability to rotate the light modules up to 20° had relatively small impacts on performance (and for the scenarios in this report, tended to worsen rather than improve performance). It is possible that for a situation when poles are mounted very far from the edge of a roadway, that rotating the distribution could have a benefit, but this situation was not evaluated.
- Energy use, optimized pole spacing, and overall annualized costs for the LES system were, in general, comparable to those of LED luminaires for the scenarios investigated in this study. Energy use for the LES systems was substantially lower than for the HPS systems evaluated.

The present study did not assess the long-term performance of LES luminaires compared to LED luminaires, and for the purpose of the photometric and energy analyses, all of the solid state luminaires were assumed to have similar performance in terms of operating life and lumen maintenance. In general, the project findings suggest that roadway luminaires using LES technology are technologically feasible for roadway lighting applications. Longer term performance could be assessed through a demonstration installation where the lighting system performance could be periodically monitored over time.

Of course, the specific ranking of any specific luminaire for a given situation will depend upon the specific geometric characteristics of the application. Every road can have different lane widths, different numbers of lanes, different pole heights, different median widths, different mast arm lengths and different onsets. The photometric analysis procedure used in section 4 of this report therefore may be a useful process when NYSDOT is investigating the suitability of specific luminaires for other specific roadway conditions, regardless of the lighting technology type.

6. STATEMENT ON IMPLEMENTATION

The findings from the present project can be used by NYSDOT and other agencies to help identify energy efficient alternatives to HPS lighting on roadways in New York State. The analysis methods employed in the present project can be used to compare new and retrofit lighting options. The photometric and economic analyses described in this report can serve as a basis for a methodology for comparing different roadway lighting options in terms of lighting performance, energy use and cost impacts.

7. REFERENCES

- Beckwith D, Zhang X, Smalley E, Chan L, Yand M. 2011. LED streetlight application assessment project: Pilot study in Seattle, Washington. *Transportation Research Record* 2250: 65-75.
- Bullough JD. 2012. *Guide for Optimizing the Effectiveness and Efficiency of Roadway Lighting*, C-10-14. Albany, NY: New York State Department of Transportation.
- Bullough JD, Radetsky LC. 2013. *Analysis of New Highway Lighting Technologies*, NCHRP 20-7/305. Washington, DC: Transportation Research Board.
- Bullough JD, Radetsky LC. 2014. *Sustainable Roadway Lighting Seminar*, 14-28. Albany, NY: New York State Energy Research and Development Authority.
- Bullough JD, Skinner NP, Brons JA. 2015. *Analysis of Energy Efficient Highway Lighting Retrofits*, C-14-12. Albany, NY: New York State Department of Transportation.
- Illuminating Engineering Society. 2014. *Roadway Lighting*, RP-8-14. New York, NY: Illuminating Engineering Society.
- Leslie RP. 1998. A simple cost estimation technique for improving the appearance and security of outdoor lighting installations. *Building and Environment* 33(2-3): 79-95.
- Miron R. 2016. *The Basics of Chip on Board LEDs*. Thief River Falls, MN: Digi-Key Electronics.
- Navigant Consulting. 2012. *2010 U.S. Lighting Market Characterization*. Washington, DC: U.S. Department of Energy.
- Radetsky LC. 2010. *Specifier Reports: Streetlights for Collector Roads*. Troy, NY: Rensselaer Polytechnic Institute.
- Radetsky LC. 2011. *Specifier Reports: Streetlights for Local Roads*. Troy, NY: Rensselaer Polytechnic Institute.

A long-exposure photograph of a city skyline at night, reflected in a body of water. In the foreground, a bridge or highway has light trails from moving vehicles. The sky is dark, and the city lights are bright and colorful.

University Transportation Research Center - Region 2
Funded by the U.S. Department of Transportation

**Region 2 - University Transportation
Research Center**
The City College of New York
Marshak Hall, Suite 910
160 Convent Avenue
New York, NY 10031
Tel: (212) 650-8050
Fax: (212) 650-8374
Website: www.utrc2.org